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<b>Part 3 of 3</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		09963335
	Filing Date		2001-09-24
	First Named Inventor	Kenneth A. Klarfeld et al.	
	Art Unit	2424	
	Examiner Name	Brown, Rueben M.	
	Attorney Docket Number	MET2.PAU.23	

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5446919		1995-08-29	WILKINS	
	2	5559549		1996-09-24	HENDRICKS	
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9	5652613	1997-07-29	LAZARUS et al.
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	20	5483278		1996-01-19	STRUBBE et al.	
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	31	6675384		2004-01-06	BLOCK et al.	
	32	6209131		2001-03-27	KIM et al.	
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	34	6536041		2003-03-18	KNUDSON et al.	
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	36	5664046		1997-09-02	ABECASSIS	
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020186296		2002-12-12	GOGOI et al.	
	2	20020199193		2002-12-26	GOGOI et al.	

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6	20030118323	2003-06-26	ISMAIL et al.

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**FOREIGN PATENT DOCUMENTS**

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	1	API Requirements for the Advanced Set-Top Box, by Open-Cable, June 5, 1998. U.S.	<input type="checkbox"/>
	2	The Challenges of Convergence for Set-Top Box Manufacturers; IBM Microelectronics, June 5, 1998. U.S.	<input type="checkbox"/>

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3	White Paper, Set-Top Box Solutions: Helping Customers Meet the Challenge of Convergence; IBM Microelectronics, June 5, 1998. U.S.	<input type="checkbox"/>
4	Set-Top Box Peripheral Chip; IBM Microelectronics, June 5, 1998. U.S.	<input type="checkbox"/>
5	Set-Top Box Reference Design Kit; IBM Microelectronics, June 5, 1998. U.S.	<input type="checkbox"/>
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7	OpenTV Operating Environment, Technical White Paper, OpenTV, Inc., June 8, 1998. U.S.	<input type="checkbox"/>
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9	Kageyama et al., "A Free Time_Shift DVD Video Recorder"; August 1997, IEEE pp. 463-473. Japan	<input type="checkbox"/>
10	Office Action Issued in Japanese Patent Application No. 002179/2002, January 15, 2008 (English Translation enclosed).	<input type="checkbox"/>
11	Matsuyama, et al., "Distributed Digital-Ticket Management for Rights Trading System" Proceedings of the 1st ACM Conference on Electronic Commerce EC '99. Japan	<input type="checkbox"/>
12	Lawrence R. Rabiner, "A tutorial on Hidden Markov Models and Selected Applications in Speech Recognition", IEEE February 1989, pp. 257-286. U.S.	<input type="checkbox"/>
13	Canadian Examiner's Report for Canadian Patent Application No. 2,335,025 (MET2.PAU.18.CA) mailed on May 3, 2006 by Examiner A. Taagepera, pp. 1-7.	<input type="checkbox"/>

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14	International Search Report for PCT/US99/12194 dated September 15, 1999, pp. 1-2.	<input type="checkbox"/>
15	Partial European Search Report for EPO Application No. 03 00 9135 (MET2.PAU.19.EP) dated February 20, 2004, 1 pp.1.	<input type="checkbox"/>
16	European Search Report for EPO Application No. 03 00 9135 (MET2.PAU.19.EP) dated December 1, 2005, pp.1.	<input type="checkbox"/>

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## **Complete if Known**

Application Number	09963335
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Art Unit	2141
Examiner Name	Brown, Rueben M.
Attorney Docket Number	MET2.PAU.23

Sheet

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## **OTHER DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include title of the item (Office Action, Notice of Allowance, etc.), date, serial number, Examiner	
	1.	U.S. Non-Final Office Action for Serial No. 10/156,173 (MET2.PAU.30) mailed September 28, 2009 by Examiner Parry, Christopher L.	
	2.	U.S. Final Office Action for Serial No. 10/156,173 (MET2.PAU.30) mailed May 27, 2009 by Examiner Parry, Christopher L.	
	3.	U.S. Non-Final Office Action for Serial No. 11/370,156 (MET2.PAU.23.A) mailed November 16, 2009 by Examiner Brown, Reuben M.	
	4.	U.S. Final Office Action for Serial No. 11/370,156 (MET2.PAU.23.A) mailed May 29, 2009 by Examiner Brown, Reuben M.	
	5.	U.S. Non-Final Office Action for Serial No. 11/370,081 (MET2.PAU.23.B) mailed April 30, 2009 by Examiner Brown, Reuben M.	

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